

COMMON APPLICATION FORM

Broker Name / ARN	Sub Broker Code / ARN	Appl. No. MA-CAF-	ISC Date, Time Stamp Number Reference No.
ARN-56003			

"Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor".

(Please) Lumpsum Investment Micro SIP (≤ ₹ 50,000 per annum) Non Micro SIP (> ₹ 50,000 per annum)

1. EXISTING UNIT HOLDER INFORMATION [Please fill in your Folio Number, Name, Section 2 & proceed to Section 8 - Investment Details]

Folio No.	Name of 1st Unit Holder

2. PAN AND KYC COMPLIANCE STATUS DETAILS - Mandatory- **If KYC already done - Pls attach KYC Compliance Status proof

For Lumpsum Investment / Non - Micro SIP PAN # (refer instruction 2(d))	KYC Compliance Status**	§(For Micro SIP) [Refer Instruction 2e]
First / Sole Applicant®	Yes <input type="radio"/> No <input type="radio"/>	Document Type _____
Second Applicant	Yes <input type="radio"/> No <input type="radio"/>	Document Reference No. _____
Third Applicant	Yes <input type="radio"/> No <input type="radio"/>	
Guardian/POA Holder	Yes <input type="radio"/> No <input type="radio"/>	

®If the first/sole applicant is a Minor, then please provide details of Natural / Legal Guardian. # Please attach PAN proof. **Refer instruction 2(e).

3. APPLICANT(S) INFORMATION [Refer Instruction 2]

NAME OF 1st / SOLE APPLICANT

Mr. | Ms. | M/s.

DATE OF BIRTH (Mandatory if applicant is Minor) <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u> <u> </u>	Proof of Date of Birth (Please ✓)	<input type="radio"/> Birth Certificate	<input type="radio"/> School Leaving Certificate / Mark Sheet
		<input type="radio"/> Passport of the Minor	<input type="radio"/> Others _____ (Please specify)

NAME OF THE GUARDIAN (In case 1st Applicant is a Minor) / NAME OF POA HOLDER

Relationship with Minor Please (✓)

Mr. | Ms. | M/s.

Mother Father Legal Guardian

Contact Name

(In case of Corporate Investor)

Designation

NAME OF 2nd APPLICANT Mr. | Ms. | M/s.

(Not Applicable in Case of Minor Applicant)

NAME OF 3rd APPLICANT Mr. | Ms. | M/s.

(Not Applicable in Case of Minor Applicant)

Mode of Holding	Anyone or Survivor <input type="radio"/>	Single <input type="radio"/>	Joint <input type="radio"/>	(Please note that the Default option is Anyone or Survivor)			
Occupation	Business <input type="radio"/>	Service <input type="radio"/>	Professional <input type="radio"/>	Retired <input type="radio"/>	Student <input type="radio"/>	Housewife <input type="radio"/>	Others <input type="radio"/>
Status	Resident Individual <input type="radio"/>	Sole Prop. <input type="radio"/>	NRI - NRE <input type="radio"/>	Trust <input type="radio"/>	Bank / FIs <input type="radio"/>	FIs <input type="radio"/>	Please specify
	Minor thru Guardian <input type="radio"/>	NRI - NRO <input type="radio"/>	HUF <input type="radio"/>	Company <input type="radio"/>	Partnership Firm <input type="radio"/>	Society / AOP / BOI <input type="radio"/>	

4. MAILING ADDRESS [Please provide Full Address. P. O. Box No. may not be sufficient. For Overseas Investors, Indian Address is preferred]

Local Address of 1st Applicant-

City	State	Pin Code
Tel. Off.	Resi.	Mobile
E - Mail		
P L E A S E U S E B L O C K L E T T E R S		

4a. Mandatory for NRI / FII Applicant

Overseas Correspondence Address-

City-	Country-	ZIP-
Please Indicate Preferred Address for Correspondance (for NRI Applicants only)		<input type="radio"/> Indian Address (Default) OR <input type="radio"/> Foreign Address

5. COMMUNICATION (Please ✓)

I / We wish to receive Account Statements / Annual Reports / Quarterly Statements / Newsletter / Updates or any other Statutory Information via E- mail / SMS alerts in lieu of Physical Documents.

6. TRANSACT ON-LINE (Refer instruction No. 8)

I / We wish to transact On-line through Mirae Asset e-Fund Market Facility

7. BANK ACCOUNT DETAILS - Mandatory [Refer Instruction Nos. 3 & 4]

Name of the Bank		
Core Banking A/c No.	A/c. Type Pls. (✓) NRE <input type="radio"/> CURRENT <input type="radio"/> SAVINGS <input type="radio"/> NRO <input type="radio"/>	
Branch Name	Address	
Bank Branch City	State	
MICR Code	Pin Code	
Please attach a cancelled cheque OR a clear photo copy of a cheque		
IFSC Code (Mandatory for Credit via NEFT/RTGS)		

Direct Credit facility is available as per instruction No. 4. However, if you wish to receive a cheque payout, please tick here (✓)

Electronic Clearing Services (ECS) facility is available for receiving dividends. If you wish to avail of this facility, please tick here (✓)

ACKNOWLEDGEMENT SLIP

Received Application No.

Mr. | Ms. | M/s.

For Lumpsum 'OR' SIP for

as per details below:

Scheme Name and Plan	Option	Sub Option	Payment Details	Date & Stamp of Collection Centre / ISC
	<input type="radio"/> Growth <input type="radio"/> Dividend <input type="radio"/> Bonus <input type="radio"/> PF	<input type="radio"/> Reinvestment <input type="radio"/> Payout <input type="radio"/> Dividend Transfer <input type="radio"/> ACAPO (M/Q/HY/Y) <input type="radio"/> DMDO & Date <input type="radio"/> AARO (Please Specify Scheme Plan)	Amount (Rs) _____ Cheque/DD No.: _____ Dated _____ Bank & Branch	

COMMON APPLICATION FORM

8. INVESTMENT AND PAYMENT DETAILS (#For complete information on Investment Details please refer to Instructions No. 6.)

Special instructions for NRI Applicants: 1. It is mandatory for NRIs to attach a copy of the payment cheque / FIRC / Debit Certificate to ascertain the repatriation status of the amount invested. 2. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). 3. In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

Scheme Name:		Plan	Option & Sub option	
Investment Amount (Rs.)	DD Charges# if any (Rs.)	Net Amount (Rs.)	Mode of Payment	Cheque / DD / Funds Transfer / Pay Order <i>Strike out whichever is not applicable</i>

Chq. / DD No. Date Drawn on Bank Branch & City

Please (✓) Source of Funds:- *A/c Type - S/B NRE* Current NRO Others (Please specify) Bank A/c No.:

*Kindly provide photocopy of the payment Instrument or Foreign Inward remittance Certificate (FIRC) or Account Debit Certificate from Bankers evidencing source of funds.

Third Party Cheque / Transfer will not be accepted for Investment (Refer Instruction No. 6)

EXCEPTION TO THIRD PARTY PAYMENT (i.e. payment by Guardian, Employer or a Custodian)

Mandatory Information (Please ✓): The details of the cheque provided above pertains to my/our own bank account in my/our name Yes No*
*If No, my relationship with the bank account holder is (Please specify) (Application Form without this Information may be rejected)

Only for Application under Mirae Asset Gilt Fund - Provident Fund Sub Plan

- A Automatic Annual Reinvestment Option (AARO) Amount Rs. _____ /- AARO will Trigger on last Friday of the month of March of every Financial Year
 B Defined Maturity Date Option (DMDO) Amount Rs. _____ /- Date _____ DMDO will Trigger on Specified Date.
 C Automatic Capital Appreciation Payout Option (ACAPO) Please Tick (✓) Option
 ● C-i - Monthly Option Last Friday of Every Month ● C-ii - Quarterly Option Last Friday of the quarters ending Mar, Jun, Sep & Dec
 ● C-iii - Half Yearly Option Last Friday of the half year ending Mar and Sep ● C-iv - Annual Option Last Friday of the month of March of every Financial Year

8.A. SIP ENROLMENT DETAILS

SIP Amount (Rs.) Enrolment Period Start Month [M M - Y Y Y Y] End Month [M M - Y Y Y Y] Frequency Please (✓) Monthly Quarterly

PAYMENT MECHANISM (✓) Option I :- Debit through ECS or Direct Debit (Auto Debit) facility (Tick this box and fill up SIP Form)
 Option II :- Through Post Dated Cheques - Total Cheques _____ Cheque Nos. From [] [] [] [] [] [] To [] [] [] [] [] []

Drawn on Bank:- Branch & City:-

9. NOMINATION DETAILS [Minor / HUF / POA Holder / Non Individuals cannot Nominate - Refer Instruction No. 9]

I DO NOT WISH TO NOMINATE (OR) I / We, the Applicant(s) do here by nominate the undermentioned Nominee(s) to receive the units to my / our credit in this folio no. in the event of my / our death. I / We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees. Nomination in respect of the units stands rescinded upon Registration of Fresh/Change in Nomination and the AMC shall not be under any obligation to transfer the units in favour of the erstwhile Nominee(s). *If the percentage of share is not mentioned then the claim will be settled equally amongst all the indicated nominee(s).

No.	Nominee(s) Name	Date of Birth (in case of Minor)	Name of the Guardian (in case of Minor)	Relationship	% of Share	Signature of Nominee / Guardian
1		D D M M Y Y Y Y				
2		D D M M Y Y Y Y				
3		D D M M Y Y Y Y				

10. DOCUMENTS ENCLOSED (Please ✓)

For Lumpsum Investment / Non-Micro SIP Applications:
 Third party Declaration Form FIRC Trust Deed Power of Attorney KYC Compliance Status Proof
 PAN Proof Memorandum & Articles of Association Resolution / Authorisation to Invest Partnership Deed
 Cancelled Cheque Copy Bye-Laws List of Auth. Signatories & Specimen Signature(s)

For Micro SIP Applications:
 Photo Debit Card Photo ID issued by designated authorities ID card issued to employees of specified banks
 Senior Citizen / Freedom Fighter ID card Cards issued by Educational Institutions Voter Identity Card
 Driving License Passport Photo Ration Card PRAN card issued to NPS subscribers by CRA (NSDL).
 Government / Defense ID Any other photo ID card issued by certain Government authorities.
 Employee ID cards issued by companies registered with ROC

11. APPLICATIONS ENCLOSED (Please ✓)

Systematic Investment Plan
 ≤ ₹ 50,000 > ₹ 50,000
 Cheques
 SIP Auto Debit Facility
 STP Enrolment Form
 SWP Enrolment Form

12. DECLARATION AND SIGNATURES / THUMB IMPRESSION OF APPLICANT(S) [Refer Instructions 2(f)]

To The Trustees, Mirae Asset Mutual Fund - Having read and understood the contents of the SID of the Scheme(s) applied for hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We understand that the investments are exposed to market risks. I/We confirm that all the risks which the scheme is subject to, will be borne by me/us and that there is no guarantee given by the Fund of any returns including repayment of principal. I/We hereby declare that the amount invested in the scheme (s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the Provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. I/We have understood the details of the scheme (s) and I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We confirm that the funds invested in the Scheme, legally belong to me/us. I/We confirm that I/We have read and understood the 'Know Your Customer' (KYC) norms as mentioned under the General Instructions in point 2(e) of this Key Information Memorandum.

"The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us". I/We have not made any other Micro SIPs application which together with the current application would result in aggregate investments exceeding ₹ 50,000 in a rolling 12 month period or in a financial year".
Applicable for NRIs only:- I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE Account.

Applicable to Foreign Resident's Residing in India:- I/We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Scheme as per the said FEMA regulations.

The Companies investing in this fund declares that they have complied with all the laws, rules, regulations, guidelines, etc. as applicable to them. I/We confirm that the details provided by me/us are true and correct.

Signature of 1 st Applicant / Guardian / Authorised Signatory /PoA/Karta	Signature of 2 nd Applicant / Guardian / Authorised Signatory /PoA	Signature of 3 rd Applicant / Guardian / Authorised Signatory /PoA
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Risk Factors: Mutual fund investments are subject to market risks and there is no assurance or guarantee that the objectives of the scheme will be achieved. As with any investment in securities, the Net Asset Value (NAV) of the units issued under the Schemes can go up or down depending on the factors and forces affecting the capital markets. Investments in mutual funds are prone to risks of fluctuation in NAVs, uncertainty of dividend distributions etc. Past performance of the Sponsor / AMC / Mutual Fund does not guarantee the future performance of the Schemes of Mirae Asset Mutual Fund. The sponsors are not liable or responsible for any loss resulting from the operation of the fund beyond the initial contribution made by them of an aggregate amount of Rupees One Lakh towards setting up of the fund. The past performance may not necessarily be an indication of future results and may not be necessarily provide a basis for comparison with other investments. The name of the scheme(s) is only the name of the scheme(s) and does not in any manner guarantee either the quality of the scheme(s) or its future prospects or returns. Investors in the scheme are not being offered any guaranteed / indicative / assured returns. Please see "Risk Factors", "Scheme Specific Risk Factors and Special Consideration" and "Right to limit redemptions" in the Scheme Information Document (SID). Please read the Statement of Additional Information (SAI) & SID carefully before investing. A copy of SAI / SID / Key Information Memorandum cum Application form will be available at AMC offices/ AMC web-site www.miraeesetmf.co.in / Investor Service Centre / Distributors on request.